1 of



Application/Control No.	Applicant(s)/Patent under Reexamination			
10/022,438	STOLTZ, ALLISON			
Examiner	Art Unit			
Tamara L. Graysay	3623			

SEARCHED							
Class	Subclass	Date	Examiner				
705	7	6/11/2005	TG				
		,					
-							
	_						

INTERFERENCE SEARCHED							
Class	Subclass	Date	Examiner				
-							
	<u>.                                    </u>						

SEARCH NOTES (INCLUDING SEARCH STRATEGY)						
	DATE	EXMR				
PLUS search - printout attached	6/7/2005	TG				
EAST search - printout attached	6/11/2005	TG				
DIALOG search - printout attached	6/11/2005	TG				

PLUS Search Results for S/N 10022438, Searched June 07, 2005

The Patent Linguistics Utility System (PLUS) is a USPTO automated search system for U.S. Patents from 1971 to the present. PLUS is a query-by-example search system which produces a list of patents that are most closely related linguistically to the application searched. This search was prepared by the staff of the Scientific and Technical Information Center, SIRA.

Ref Hits		Search Query				
#			DBs	Default	Plurals	Time Stamp
SI	892	(risk same business) and (supray or		Operator		Junip
_		(risk same business) and (survey or question or response or feedback or predict)	USPAT	OR	ON	2005/06/11 21:36
S2	612	(risk same business) and (survey or question or response or feedback or predict) and (index or weight or factor)	USPAT	OR	ON	2005/06/11 21:36
S3	151	(risk same business) and (survey or question or response or feedback or predict) and ((index or weight or factor) with (consequence or risk))	USPAT	OR		2005/06/11 21:40
S4 40		(risk same business) and (survey or question or response or feedback or predict)	EPO; JPO; DERWENT	OR	1	2005/06/11 22:04

## A. STRATEGY SUMMARY

b411

sf all

s (risk(7n)(business or enterprise or company))(s)((index or indices or weight or weighted or weighting or factor)(7n)(risk or consequence)) and (survey?? or question?? or questionnaire?? or feedback or respons???)

s (risk(7n)(business or company))(9n)((index or indices or weight or weighted or weighting or factor)(7n)(risk or consequence))(s)(survey? ? or question? ? or questionnaire? ? or feedback or respons???)

## **B. STRATEGY SUMMARY**

b 9,15,16,20,148,275,476,610,810,613,813,621,624,634,636,2,35,65,99,474,475,583,256,6,7,8,34,434,94

s (risk(7n)(business or enterprise or company))(10n)(index or indices or weight or weighted or weighting or rank or ranking or factor) and (survey? ? or question? ? or questionnaire? ? or feedback or respons???)

# C. STRATEGY SUMMARY

b411

sf all

s allison(2n)stoltz

## D. STRATEGY SUMMARY

b411

sf all

s (severity()risk()index)(7n)(consequence or non()compliance)

# E. STRATEGY SUMMARY

b411

sf all

s statement()on()auditing()standards and (risk(7n)(factor or factors or index or indices))(s)(business or enterprise or company)

## Multiple databases searched:

#### FullText\_

- 9: Business & Industry
- 15: ABI/INFORM
- 16, 160: PROMT, Gale
- 20: Global Reporter, Gale
- 148: Trade & Industry Database, Gale
- 275: Computer Database, Gale
- 476: Financial Times
- 610,810: Business Wire
- 613,813: PR Newswire
- 621: New Product Announcements/Plus, Gale
- 624: McGraw-Hill Companies Pubs Online
- 634: San Jose Mercury News
- 636: Newsletter Database, Gale Group

## Group M

- 6: NTIS-Nat'l Technical Information Service
- 63: Transportation Research Info Service
- 637: The Journal of Commerce

#### NonFullText

- 2: INSPEC
- 35: Dissertation Abstracts Online
- 65: Inside Conferences
- 99: Wilson Applied Science & Tech Abstracts
- 474: New York Times Abstracts
- 475: Wall Street Journal Abstracts
- 583: Globalbase, Gale Group

## Software and Technology Oriented

256: Softbase: Reviews, Companies, Products

## Group G

- 6: NTIS-Nat'l Technical Information Service
- 7: Social SciSearch
- 8: Ei Compendex
- 34, 434: SciSearch
- 94: JICST-Eplus Japanese Science & Tech

	Set	Items	Descripti	on	
	S1	559	(RISK(7N)	(BUSINESS OR C	COMPANY))(9N)((INDEX OR INDICES OR W-
		EI	GHT OR WEI	GHTED OR WEIGH	HTING OR FACTOR) (7N) (RISK OR CONSEQU-
		EN	ICE))(S)(SU	RVEY? ? OR QUE	ESTION? ? OR QUESTIONNAIRE? ? OR FEE-
		DE	BACK OR RES	PONS???)	
	S2	45	S1 AND (R	ANK? ? OR PRIO	DRITI?E)
	S3	35	RD (uniqu	e items)	
	S4	20	S3 NOT PY	>2001	•
File			1972-2005/Ju	n W1	File 211:Gale Group Newsearch(TM) 2005/Jun 13
File		5 Inst for S O(R) 1887-20			(c) 2005 The Gale Group File 258:AP News Jul 2000-2005/Jun 12
			chological Ass	ı.	(c) 2005 Associated Press
File	13:BAMP 20	05/Jun W1 5 The Gale	Group		File 267:Finance & Banking Newsletters 2005/Jun 07 (c) 2005 The Dialog Corp.
File			-2005/Jun 10		File 277:ONTAP(R) Investext(R)
			Info&Learning		(c) 1992 Thomson Financial Networks
File		oup PROMT(R) 5 The Gale (	1990-2005/Ju:	1 13	File 292:GEOBASE(TM) 1980-2005/May B1 (c) 2005 Elsevier Science Ltd.
File				5/Jun 13	File 349:PCT FULLTEXT 1979-2005/UB=20050609,UT=20050602
		5 The Gale C	-		(c) 2005 WIPO/Univentio
File		dustry Notes 5 Amer.Chem.	SOC - SOC	3 200523	File 420:UnCover 1988-2001/May 31 (c) 2001 The UnCover Company
File			ter 1997-2005	/Jun 12	File 432:Tampa Tribune 1998-2005/Jun 10
		5 The Dialog			(c) 2005 Tampa Tribune
File		972-2005/May	/ D5 The Dialog	Corporation	File 440:Current Contents Search(R) 1990-2005/Jun 10 (c) 2005 Inst for Sci Info
File			nline 1861-200		File 471:New York Times Fulltext 19802005/Jun 12
			Info&Learning		(c) 2005 The New York Times
File		oup Magazine 5 The Gale o	e DB(TM) 1959-:	2005/Jun 13	File 484:Periodical Abs Plustext 1986-2005/Jun W1 (c) 2005 ProQuest
File		1974-2005/Ji			File 485:Accounting & Tax DB 1971-2005/Jun W1
D:1-			Science B.V.	25/5	(c) 2005 ProQuest Info&Learning
File		agement Cont 5 The Gale (	tents(R) 86-20 Froup	12\Jnu MI	File 501:Extel Intl News Cards 1995-2002/Mar W4 (c) 2002 Extel Financial Inc
File			A.R.T.S. 197	5-2005/Jun 13	File 541:SEC Online(TM) Annual Repts 1997/Sep W3
E:lo		5 The Gale C		2005 /Mar. W3	(c) 1987-1997 SEC Online Inc.
LIIC		5 FIZ TECHNI	Management 198 K	9-2005/May WI	File 542:SEC Online(TM) 10-K Reports 1997/Sep W3 (c) 1987-1997 SEC Online Inc.
File		1972-2005/N	•		File 543:SEC Online(TM) 10-Q Reports 1997/Sep W3
File			Science Ltd. e(R) 2005/Jun 1	<b>v</b> 1	(c) 1987-1997 SEC Online Inc. File 544:SEC Online(TM) Proxy Repts 1997/Sep W3
		5 Thomson Fi			(c) 1987-1997 SEC Online Inc.
File		1969-2005/3			File 545:Investext(R) 1982-2005/Jun 10
File		Guide 1983-	Economic Assoc. -2005/Dec	lation	<pre>(c) 2005 Thomson Financial Networks File 553:Wilson Bus. Abs. FullText 1982-2004/Dec</pre>
	(c) 200	5 The HW Wil	lson Co		(c) 2005 The HW Wilson Co
File			stracts 1983-2	005/Jun	File 570:Gale Group MARS(R) 1984-2005/Jun 13
File		5 The HW Wil 1973-2005/Ma			<pre>(c) 2005 The Gale Group File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13</pre>
	(c) 200	5 INIST/CNRS	5		(c) 2002 The Gale Group
File 13	148:Gale Gr	oup Trade &	Industry DB 1	976-2005/Jun	File 606:Africa News 1999-2005/Jun 10 (c) 2005 Africa News Service Via Comtex
13	(c)2005	The Gale Gr	coup		File 608:KR/T Bus.News. 1992-2005/Jun 12
File	149:TGG Hea	lth&Wellness	B DB (SM) 1976-	2005/Jun W1	(c)2005 Knight Ridder/Tribune Bus News
File		5 The Gale 0 (R) 1951-200	-		File 609:Bridge World Markets 2000-2001/Oct 01
1110			)5 The Dialog	Corp.	(c) 2001 Bridge File 610:Business Wire 1999-2005/Jun 10
File	156:ToxFile	1965-2005/3	Jun W2	-	(c) 2005 Business Wire.
File		mat only 200 oup PROMT(R)	)5 The Dialog	Corporation	File 613:PR Newswire 1999-2005/Jun 12 (c) 2005 PR Newswire Association Inc
		9 The Gale C			File 614:AFP English Wire 1999-2005/Jun 12
File	161:0cc.Saf	.& Hth. 1973	3-1998/Q3		(c) 2005 Agence France Press
File		mat only 199 Health 1983-	98 The Dialog ( -2005/May	corp.	File 619:Asia Intelligence Wire 1995-2005/Jun 11 (c) 2005 Fin. Times Ltd
	(c) 200	5 CAB Intern	national		File 620:EIU:Viewswire 2005/Jun 10
File			985-2005/Jun 1		(c) 2005 Economist Intelligence Unit
File		5 format onl y 1982/Dec-2	y The DIALOG ( 2005/Mar	corp	File 621:Gale Group New Prod.Annou.(R) 1985-2005/Jun 13 (c) 2005 The Gale Group
			5 The Dialog	Corp.	(a) 2000 1110 2020 010up

Items

Set

Description

S1	197	/DICK/7N) /DUCTNECC OF	COMPANY	) (9N) ((INDEX OR INDICES OR W-
21				
				R FACTOR) (7N) (RISK OR CONSEQU-
	EN	ICE))(S)(SURVEY? ? OR Q	QUESTION?	? OR QUESTIONNAIRE? ? OR FEE-
	DE	BACK OR RESPONS???)		
S2	34	S1 AND (RANK? ? OR PF	RIORITI?E)	
S3 ·	28	·		
S4	8	S3 NOT PY>2001		
24	O	55 NOT F1/2001		
File 625:America	an Banker P	ublications 1981-2005/Jun	File	756:Daily/Sunday Telegraph 2000-2005/Jun 12
10	an banner i	<u> </u>	,	(c) 2005 Telegraph Group
(c) 200	05 American	Banker	File	759:Business Insights 1992-2005/May
File 626:Bond Bu	uyer Full T	ext 1981-2005/Jun 10		(c) 2005 Datamonitor
(c) 200	05 Bond Buy	er	File	761:Datamonitor Market Res. 1992-2005/May
File 635:Busines	ss Dateline	(R) 1985-2005/Jun 11		(c) 2005 Datamonitor
(c) 200	05 ProQuest	Info&Learning	File	768:EIU Market Research 2005/Apr 21
File 636:Gale G	roup Newsle	tter DB(TM) 1987-2005/Jun		(c) 2005 EIU
13			File	774:EdgarPlus(TM)-Prospectuses 2004/Mar 09
	05 The Gale		•	(c) 2004 Disclosure Inc
		Camera 1995- 2005/Jun 10	File	775:EdgarPlus(TM)-Reg. Statements 2004/Mar 09
	05 The Dail	•		(c) 2004 Disclosure Inc
	-	re ASAP(TM) 2005/Jun 02		778:EdgarPlus(TM)-10-K & 20-F Filings 2004/Mar
	05 The Gale	-	09	
File 654:US Pat		·		(c) 2004 Disclosure Inc
		005 The Dialog Corp.	File	781:ProQuest Newsstand 1998-2005/Jun 12
		rice 1991-2002/Jul 02	n:l-	(c) 2005 ProQuest Info&Learning
· · ·		News Service	File	985:World News Connection(R) 1995-2005/Jun 12 (c) 2005 NTIS
File 703:USA Too	05 USA Toda		Filo	990:NewsRoom Current Jan 1 -2005/Jun 12
		gonian 1989-2005/Jun 10	riie	(c) 2005 The Dialog Corporation
	05 The Orec		File	991:NewsRoom 2005 Jan 1-2005/Jan 31
		19922005/Jun 11		(c) 2005 The Dialog Corporation
		ina Morning Post	File	992:NewsRoom 2004 Jan 1-2004/Dec 31
File 728:Asia/Pa				(c) 2005 The Dialog Corporation
		Corporation	File	993:NewsRoom 2003
		m. 1990- 2000/Nov 21		(c) 2005 The Dialog Corporation
(c) 20	00 San Fran	cisco Examiner	File	994:NewsRoom 2002
File 748:Asia/Pa	ac Bus. Jrn	ls 1994-2005/May 31		(c) 2005 The Dialog Corporation
(c) 20	05 The Dial	og Corporation	File	995:NewsRoom 2001
				(c) 2005 The Dialog Corporation
	-	apers 1995-2005/Jun 11	File	996:NewsRoom 2000
(c) Fa	irfax New 2	ealand Ltd.		(c) 2005 The Dialog Corporation

	Set	Items	Desc	cription			
	S1	1842	(RIS	SK(7N) (BUSINES	S OR ENT	ERPRI	(SE OR COMPANY))(10N)(INDEX OR
			INDICE	ES OR WEIGHT	OR WEIGHT	ED OF	R WEIGHTING OR RANK OR RANKING
							ESTION? ? OR QUESTIONNAIRE? ?
						K QUI	STION: : OR QUESTIONNAIRE: :
				DBACK OR RESPO			
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	S3	206	RD (	(unique items)	ı		,
	S4	118	S3 N	NOT PY>2001			
File	9:Busines	ss & Indus	rv(R)	Jul/1994-2005/Ju	n 13	13	
•		)5 The Ga					(c) 2005 The Gale Group
File	15:ABI/In:					File	
	(c) 200	5 ProQues	Info&	Learning			(c) 2005 Institution of Electrical
File				0-2005/Jun 13		Engi	neers
	(c) 200	)5 The Gal	e Group			File	35:Dissertation Abs Online 1861-2005/May
File				1997-2005/Jun 12			(c) 2005 ProQuest Info&Learning
		05 The Dia				File	65:Inside Conferences 1993-2005/Jun W1
		roup Trade	& Indu	stry DB 1976-			(c) 2005 BLDSC all rts. reserv.
2005	Jun 13		_			File	99:Wilson Appl. Sci & Tech Abs 1983-2005/May
		The Gale		() ()			(c) 2005 The HW Wilson Co.
File				(TM) 1983-2005/Ju	n 13	File	474: New York Times Abs 1969-2005/Jun 10
m41.		75 The Gal	-			n:1-	(c) 2005 The New York Times 475:Wall Street Journal Abs 1973-2005/Jun 10
File				kt 1982-2005/Jun	11	File	(c) 2005 The New York Times
(c) 2005 Financial Times Ltd File 610:Business Wire 1999-2005/Jun 10							583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2005 Business Wire.							(c) 2002 The Gale Group
File	810:Busines					File	256:TecInfoSource 82-2005/Apr
		99 Busines		,,102 20			(c) 2005 Info.Sources Inc
File	613:PR News			Jun 12	•	File	6:NTIS 1964-2005/Jun W1
	(c) 200	)5 PR News	wire As	sociation Inc			(c) 2005 NTIS, Intl Cpyrght All Rights Res
File	813:PR News					File	
	(c) 199	99 PR News	wire As	sociation Inc			(c) 2005 Inst for Sci Info
File	621:Gale G	coup New P	rod.Ann	ou.(R) 1985-2005	/Jun	File	8:Ei Compendex(R) 1970-2005/May W5
13							(c) 2005 Elsevier Eng. Info. Inc.
	(c) 200	)5 The Gal	e Group	)		File	34:SciSearch(R) Cited Ref Sci 1990-2005/Jun Wl
File				ns 1985-2005/Jun	10		(c) 2005 Inst for Sci Info
		05 McGraw-1				File	434:SciSearch(R) Cited Ref Sci 1974-1989/Dec
File				1985-2005/Jun 11			(c) 1998 Inst for Sci Info
		)5 San Jos			_	File	94:JICST-EPlus 1985-2005/Apr W3
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Set
        Items
                Description
                STOLTZ (2N) ALLISON
S1
          29
File 47:Gale Group Magazine DB(TM) 1959-2005/Jun 13
         (c) 2005 The Gale group
File 287:Biography Master Index 1980-2004
         (c) 2005 Gale Research Inc.
File 486: Press-Telegram 1992- 2005/Jun 09
         (c) 2005 Long Beach Press-Telegram
File 642: The Charlotte Observer 1988-2005/Jun 11
         (c) 2005 Charlotte Observer
File 704: (Portland) The Oregonian 1989-2005/Jun 10
         (c) 2005 The Oregonian
File 721:Lexington Hrld.-Ldr. 1990-2005/Jun 09
         (c) 2005 Lexington Herald-Leader
File 723: The Wichita Eagle 1990-2005/Jun 09
         (c) 2005 The Wichita Eagle
File 732:San Francisco Exam. 1990- 2000/Nov 21
         (c) 2000 San Francisco Examiner
File 734: Dayton Daily News Oct 1990- 2005/Jun 09
         (c) 2005 Dayton Daily News
File 738: (Allentown) The Morning Call 1990-2005/Jun 10
         (c) 2005 Morning Call
File 781: ProQuest Newsstand 1998-2005/Jun 12
         (c) 2005 ProQuest Info&Learning
File 979:Milwaukee Jnl Sentinel Apr 1998-2005/Jun 11
         (c) 2005 Milwaukee Jnl Sntl
File 990:NewsRoom Current Jan 1 -2005/Jun 12
         (c) 2005 The Dialog Corporation
File 992:NewsRoom 2004 Jan 1-2004/Dec 31
         (c) 2005 The Dialog Corporation
File 993:NewsRoom 2003
         (c) 2005 The Dialog Corporation
File 994:NewsRoom 2002
         (c) 2005 The Dialog Corporation
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Set Items Description
S1 1 (SEVERITY()RISK()INDEX)(7N)(CONSEQUENCE OR NON()COMPLIANCE)
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File 654:US Pat.Full. 1976-2005/Jun 09
(c) Format only 2005 The Dialog Corp.

Set

Items

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Set Items Description
S1 3 (SEVERITY()RISK()INDEX)(S)(CONSEQUENCE OR NON()COMPLIANCE -
OR COMPLIANCE)
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File 340:CLAIMS(R)/US Patent 1950-05/Jun 09 (c) 2005 IFI/CLAIMS(R)

File 654:US Pat.Full. 1976-2005/Jun 09

(c) Format only 2005 The Dialog Corp.

File 990:NewsRoom Current Jan 1 -2005/Jun 12

Description

(c) 2005 The Dialog Corporation

S1	298	STATEMENT()ON()AUDITIN	G()STANDARDS AND (RISK(7N)(FACTOR OR
	F.A		ES))(S)(BUSINESS OR ENTERPRISE OR CO-
		PANY)	
S2	146	•	
	· · · ·	RD (unique items)	
\$3	64	S2 NOT PY>2001	•
S4	0	S1 AND PRIORITI?E	
<b>S</b> 5	45	S1 AND RANK	•
S6	38	S5 NOT S3	
File 13:BAMP 200	)5/Jun W1		(c) 2005 Business Wire.
	The Gal	e Group	File 613:PR Newswire 1999-2005/Jun 12
File 15:ABI/Info		•	(c) 2005 PR Newswire Association Inc
(c) 2005	ProQuest	Info&Learning	File 620:EIU:Viewswire 2005/Jun 10
File 16:Gale Gro	oup PROMT (	R) 1990-2005/Jun 13	(c) 2005 Economist Intelligence Unit
(c) 2005	The Gale	Group	File 621:Gale Group New Prod.Annou.(R) 1985-2005/Jun
		orter 1997-2005/Jun 12	13
	The Dial		(c) 2005 The Gale Group
		ntents(R) 86-2005/Jun Wl	File 625:American Banker Publications 1981-2005/Jun
	The Gale		10
	oup Busine	ss A.R.T.S. 1976-2005/Jun	(c) 2005 American Banker
13		_	File 649:Gale Group Newswire ASAP(TM) 2005/Jun 02
	The Gale		(c) 2005 The Gale Group
		se(R) 2005/Jun W1	File 775:EdgarPlus(TM)-Reg. Statements 2004/Mar 09
		Financial	(c) 2004 Disclosure Inc
2005/Jun 13	oup Trade	& Industry DB 1976-	File 781: ProQuest Newsstand 1998-2005/Jun 12
*	The Gale	Croup	(c) 2005 ProQuest Info&Learning File 990:NewsRoom Current Jan 1 -2005/Jun 12
File 180:Federal			(c) 2005 The Dialog Corporation
	-	only The DIALOG Corp	File 991:NewsRoom 2005 Jan 1-2005/Jan 31
		rch(TM) 2005/Jun 13	(c) 2005 The Dialog Corporation
	The Gale		File 992:NewsRoom 2004 Jan 1-2004/Dec 31
		ustext 1986-2005/Jun W1	(c) 2005 The Dialog Corporation
	ProQuest	· ·	File 993:NewsRoom 2003
File 485:Account:	ing & Tax	DB 1971-2005/Jun W1	(c) 2005 The Dialog Corporation
(c) 2005	ProQuest	Info&Learning	File 994:NewsRoom 2002
File 553:Wilson H	Bus. Abs.	FullText 1982-2004/Dec	(c) 2005 The Dialog Corporation
(c) 2005	The HW W	ilson Co	File 995:NewsRoom 2001
		ets 2000-2001/Oct 01	(c) 2005 The Dialog Corporation
	Bridge		File 996:NewsRoom 2000
File 610:Business	Wire 199	9-2005/Jun 10	(c) 2005 The Dialog Corporation